

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hideki MORIGUCHI, et al.

Application No.: 10/593,279

Filed: September 18, 2006

For: SURFACE-COATED CUTTING TOOL



Customer Number: 20277

Confirmation Number: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

REQUEST FOR FILING RECEIPT

Mail Stop OFR
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on September 18, 2006, as evidenced by the attached copies of the return receipt postcard. To date, the undersigned has not received an Official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an Official Filing Receipt as soon as possible.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Stephen A. Becker
Registration No. 26,527

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 SAB:ksa
Facsimile: 202.756.8087
Date: October 11, 2007

**Please recognize our Customer No. 20277
as our correspondence address.**

Applicant: Hideki MORIGUCHI, et al. Docket No. 070456-0151 Serial No. Not Yet Assigned

Title: SURFACE-COATED CUTTING TOOL Patent No. _____

Date Sent: 9/18/2006 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ 1st Class Mail ☐ Express Mail No. _____

☒ Transmittal Letter

New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

☐ Other: _____

22 pages of Specification ☐ Letter submitting _____ pages of drawings

2 pages of Claims ☐ Req. for Approval of Drawing Amendments

1 pages of Abstract ☐ Req. for Oral Hearing

- pages of Formal/Informal Drawings ☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ Small Entity ☒ Large Entity ☐ Rule 312 Amendment/Letter

☒ Declaration/Power of Attorney ☐ Req. for Acknowledgement of Cited Art

☒ Recordation of Assignment ☐ Issue Fee

☒ Information Disclosure Statement ☐ Publication Fee

1 Form PTO 1449 ☐ Req. for Certificate of Correction

13 References attached ☐ Maintenance Fee for _____ years after grant

☐ Preliminary Amendment ☐ Fee Address Indication Form _____

☐ Response to Missing Parts Notice ☒ PCT Request

☐ Resp. to Notice to Correct App. Papers ☒ Copy of International Published Application

☐ Certified Copy of Priority Doc. ☒ International Search Report

☐ Claim for Convention Priority ☒ Forms PCT/IB/301, 304 and 308 (first and second notices)

☐ Response/Amendment to Office Action of _____

☐ Request for _____ month Extension of Time



Check for	\$	<input checked="" type="checkbox"/> Charge Deposit Acct. 500417	\$ 940.00	Atty Init.	SAB	Tkpr. #	4233	Secy. or PL:	AMcClure
CMS Descrip.: <u>1-300.00 31-400.00 32-200.00 4-40.00</u>								EARLY SERIAL NUMBER	
THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.									



Docket No.: 070456-0151



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 20277
Hideki MORIGUCHI, et al.	:	Confirmation Number: Not Yet
Assigned	:	
Application No.: 10/593,279	:	Group Art Unit: Not Yet Assigned
Filed: September 18, 2006	:	Examiner: Not Yet Assigned
For: SURFACE-COATED CUTTING TOOL	:	

**REQUEST FOR CORRECTION OF NOTICE OF RECORDATION OF
ASSIGNMENT DOCUMENT**

Mail Stop Assignment
Assignment Services Branch
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The information as it appears on the Notice of Recordation of Assignment Document in connection with the above-identified application is incorrect. **There is an error in the first assignee name and the name should read: Sumitomo Electric Hardmetal Corp.**

This appeared correctly on the Recordation Form Cover Sheet filed with the executed Assignment document on September 18, 2006. Please correct this information which appears at Reel 018324, Frame 0246 and forward the Corrected Notice of Recordation of Assignment Document to the undersigned. Copies of the Notice of Recordation of Assignment Document, the executed Assignment, and the Recordation

10/593,279

Form Cover Sheet are included herewith. No fee is necessary because the error is the fault of the U.S. Patent and Trademark Office.

If you have any questions, please contact the undersigned immediately.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

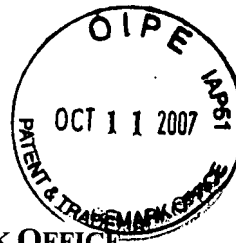
McDERMOTT WILL & EMERY LLP



Stephen A. Becker
Registration No. 26,527

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 SAB:ksa
Facsimile: 202.756.8087
Date: October 11, 2007

**Please recognize our Customer No. 20277
as our correspondence address.**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 29, 2006

MCDERMOTT WILL & EMERY LLP
600 13TH STREET, N.W.
WASHINGTON, D. C. 20005-3096

PTAS



103312208A

RECEIVED
OCT 4 2006

McDermott Will & Emery LLP
DC Office

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/18/2006

REEL/FRAME: 018324/0246

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 070456-0151

ASSIGNOR:

MORIGUCHI, HIDEKI

DOC DATE: 08/09/2006

ASSIGNOR:

FUKUI, HARUYO

DOC DATE: 08/10/2006

ASSIGNOR:

OMORI, NAOYA

DOC DATE: 08/10/2006

ASSIGNOR:

IMAMURA, SHINYA

DOC DATE: 08/10/2006

ASSIGNOR:

SETOYAMA, MAKOTO

DOC DATE: 08/09/2006

Sumitomo
ASSIGNEE:

SIMOTOMO ELECTRIC HARDMETAL CORP.
1-1, KOYAKITA 1-CHOME, ITAMI-SHI
HYOGO, 664-0016, JAPAN

ASSIGNEE:

SUMITOMO ELECTRIC INDUSTRIES, LTD.
5-33, KITAHAMA 4-CHOME
CHUO-KU, OSAKA-SHI
OSAKA, 541-0041, JAPAN

SERIAL NUMBER: 10593279

PATENT NUMBER:

TITLE: SURFACE-COATED CUTTING TOOL

FILING DATE:

ISSUE DATE:

DIANE RUSSELE, PARALEGAL
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

10/593239

A/581-40

14P12 Rec'd FOR T: 13 SEP 2006

09-26-2006

FORM PTO-1595
(Rev. 10/02)

Docket No.: 070456-0151



T

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner for I

103312208

Attached original documents or copy thereto:

1. Name of Conveying Party(ies):

Hideki MORIGUCHI, Haruyo FUKUI, Naoya OMORI, Shinya
IMAMURA, Makoto SETOYAMAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 9, 2006, August 10, 2006, August 10,
2006, August 10, 2006, August 9, 2006
(respectively)

2. Name and address of receiving party(ies):

(1)Name: SUMITOMO ELECTRIC HARDMETAL CORP.

Address: 1-1, Koyakita 1-chome, Itami-shi,
Hyogo, 664-0016 JAPAN

(2)Name: SUMITOMO ELECTRIC INDUSTRIES, LTD.

Address: 5-33, Kitahama 4-chome,
Chuo-ku, Osaka-shi,
Osaka, 541-0041 JAPANAdditional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the
execution date of the application is:

A. Patent Application No(s).

August 9, 2006 August 10, 2006 August 10, 2006

August 10, 2006 August 9, 2006 (respectively)

B. Patent No(s).

Additional numbers attached? ☐ Yes ☐ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP

Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D. C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

500417

09/21/2006 BFREY1 00000116 500417 10593279

04 FC:8021 40.00 DA

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy
of the original document.*

Stephen A. Becker, Registration No. 26,527

September 18, 2006

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet:

3

OMB No. 0651-0027 (exp. 6/30/2005)

Attorney Docket No.: _____

ASSIGNMENT

WHEREAS, Hideki MORIGUCHI, Haruyo FUKUI, Naoya OMORI, Shinya IMAMURA, and Makoto SETOYAMA, hereinafter called the "Assignors," have jointly invented a new and useful invention entitled Surface-Coated Cutting Tool, for which he has:

- (a) filed an application for United States Letters Patent on _____ as (Serial No. _____); or
- (b) executed an application for United States Letters Patent on August 9, 2006 and August 10, 2006; or
- (c) filed a provisional application on _____ as (Serial No. _____); and

WHEREAS, (1) SUMITOMO ELECTRIC HARDMETAL CORP. and (2) Sumitomo Electric Industries, Ltd., a corporation organized and existing under the laws of Japan, having a place of business at:
(1) 1-1, Koyakita 1-chome, Itami-shi, Hyogo 664-0016 Japan; and (2) 5-33, Kitahama 4-chome, Chuo-ku, Osaka-shi, Osaka 541-0041 Japan, hereinafter called the "Assignee," is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under any Letters Patent which may be obtained to said invention, as hereinafter more fully set forth;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient considerations, the receipt of which by said Assignors from the said Assignee is hereby acknowledged, the said Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, the entire, right, title and interest for the United States in and to the invention and application hereinabove identified, and any Letters Patent of the United States that may issue for said invention, together with the entire right, title and interest in and to said invention and applications for Letters Patent and Letters Patent therefor, in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; to have and to hold for the sole and exclusive use and benefit of the said Assignee, its successors and assigns, to the full end of the term or

terms for which any and all of said Letters Patent for said inventions may issue.

And the said Assignors do hereby covenant and agree, for himself and his legal representatives, that he will assist the said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the said Assignee may elect to make covering the invention herein identified, as hereinabove set forth; in vesting in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patent herein contemplated; and that they will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of this Assignment.

The undersigned hereby grant(s) the attorneys of McDermott, Will & Emery LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue Letters Patent to the said Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the said Assignors have hereunto set his hands and affixed his seal.

Date: Aug. 9. 2006 Hideki Moriguchi (Seal)
Hideki MORIGUCHI

Date: Aug. 10. 2006 Haruyo Fukui (Seal)
Haruyo FUKUI

Date: Aug. 10. 2006 Naoya Omori (Seal)
Naoya OMORI

Date: Aug. 10. 2006 Shinya Imamura (Seal)
Shinya IMAMURA

Date: Aug. 9. 2006 Makoto Setoyama (Seal)
Makoto SETOYAMA

Date: _____ (Seal)